



The investment company Vector Securities respects each individual's right to privacy. We value our relationship with you, and we take pride in maintaining loyalty and respect with each individual client by providing you with security. The provisions of this notice apply to former clients as well as our current clients.

Personal information

We collect information required to open an account, to transact business effectively and to safeguard your privacy. To do this, we gather information to help us assess your needs and preferences.

When you apply for or maintain a live or demo account with Vector Securities, we collect personal information about you for business purposes, such as evaluating your financial needs, processing your requests and transactions, informing you about products and services that may be of interest to you, and providing client service. Such information may include:

- Application Information - Information you provide to us on applications and other forms, such as your name, address, birth date, social security number, occupation, assets, and income.
- Transaction Information - Information about your transactions with us and with our affiliates as well as information about our communications with you. Examples include your account balances, trading activity, your inquiries and our responses.
- Verification Information - Information necessary to verify your identity such as a passport or drivers license. Examples also include background information about you we receive from public records or from other entities not affiliated with Vector Securities.

We use personal information only as appropriate to provide you quality service and security.

Use of “cookies”

Cookies are small pieces of text stored on your computer to help us determine the type of browser and settings you are using, where you have been on the website, when you return to the website, where you came from, and to ensure your information is secure. The purpose of this information is to provide you with a more relevant and effective experience on this website, including presenting web pages according to your needs or preferences.

We may also use independent external service providers to track the traffic and usage on the website.

Cookies are frequently used on many websites on the internet and you can choose if and how a cookie will be accepted by changing your preferences and options in your browser. You may not be able to access some parts of this site if you choose to disable the cookie acceptance in your browser, particularly the secure parts of the website. We therefore recommend you enable cookie acceptance to benefit from all the services on the website.

Sharing information with our affiliates

We share information with affiliates if the information is required to provide the product or service you have requested or to provide you the opportunity to participate in the products or services our affiliates offer. In order to ensure that these products and services meet your needs and are delivered in a manner that is useful and relevant, Vector Securities may share some information with partners, affiliates and alliances. This allows them to better understand the offers that are most relevant and useful. In our strategic relationships, we will require that it be identified that an offer is being extended because of the relationship with us. The use of your personal information is limited to the purposes identified in our relationship with the partner or affiliate.

**Sharing information with third parties**

We assure you that Vector Securities does not disclose your personal information to third parties, except as described in this Policy. Third party disclosures may include sharing such information with non-affiliated companies that perform support services for your account or facilitate your transactions with Vector Securities, including those that provide professional, legal, or accounting advice to Vector Securities. Non-affiliated companies that assist Vector Securities in providing services to you are required to maintain the confidentiality of such information to the extent they receive it and to use your personal information only in the course of providing such services and only for the purposes that Vector Securities dictates. We may also disclose your personal information to third parties to fulfill your instructions or pursuant to your express consent. We want you to know that Vector Securities will not sell your personal information.

Opt Out

You are not required to supply any of the personal information that we may request. However, failure to do so may result in our being unable to open or maintain your account or to provide services to you. While we make every effort to ensure that all information we hold about you is accurate, complete and up to date, you can help us considerably in this regard by promptly notifying us if there are any changes to your personal information.

Notification of policy and policy changes

We will provide an initial notice of its Privacy Policy to customers at the time it establishes a customer relationship, and at least annually thereafter, and will post the Policy on its website. Updates will be posted on the Vector Securities website, so our customers will always know what information we collect online, how we use it, and what choices they have.